Mark Twain and *Huck Finn*
By Ralph Ellison
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I see no value either in presenting a catalogue of Negro characters appearing in twentieth-century fiction or in charting the racial attitudes of white writers. We are interested not in quantities but in qualities. And since it is impossible here to discuss the entire body of this writing, the next best thing is to select a framework in which the relationships with which we are concerned may be clearly seen. For brevity let us take Mark Twain for historical perspective and as an example of how a great nineteenth-century writer handled the Negro.

For perspective let us begin with the great classic, *Huckleberry Finn*. Recall that Huckleberry has run away from his father, Miss Watson and the Widow Douglas (indeed the whole community, in relation to which he is a young outcast) and has with him as companion on the raft upon which they are sailing down the Mississippi the Widow Watson's runaway Negro slave, Jim. Recall, too, that Jim, during the critical moment of the novel, is stolen by two scoundrels and sold to another master, presenting Huck with the problem of freeing Jim once more. Two ways are open: he can rely upon his own ingenuity and "steal" Jim into freedom or he might write the Widow Watson and request reward money to have Jim returned to her. But there is a danger in this course, remember, since the angry widow might sell the slave down the river into a harsher slavery. It is this course which Huck starts to take, but as he composes the letter he wavers.

"It was a close place." [he tells us] "I took it [the letter] up, and held it in my hand. I was trembling, because I'd got to decide, forever, 'twixt two things, and I knewed it. I studied a minute, sort of holding my breath, and then says to myself: "'Airight, then, I'll go to hell'-and tore it up, . . . It was awful thoughts and awful words, but they was said and I let them stay said, and never thought no more about reforming. I shoved the whole thing out of my head and said I would take up wickedness again, which was in my line, being brung up to it, and the other warn't. And for a starter I would . . . steal Jim out of slavery again."

And a little later, in defending his decision to Tom Sawyer, Huck comments, "I know you'll say it's dirty, low-down business but I'm low-down. And I'm going to steal him."

We have arrived at a key point of the novel and, by an ironic reversal, of American fiction, a pivotal moment announcing a change of direction in the plot, a reversal as well as a recognition scene (like that in which Oedipus discovers his true identity) wherein a new definition of necessity is being formulated. Huck Finn has struggled with the problem poised by the clash between property rights and human rights, between what the community considered to be the proper attitude toward an escaped slave and his knowledge of Jim's humanity, gained through their adventures as fugitives...
together. He has made his decision on the side of humanity. In this passage Twain has stated the basic moral issue centering around Negroes and the white American's democratic ethics. It dramatizes as well the highest point of tension generated by the clash between the direct, human relationships of the frontier and the abstract, inhuman, market-dominated relationships fostered by the rising middle class which in Twain's day was already compromising dangerously with the most inhuman aspects of the defeated slave system. And just as politically these forces reached their sharpest tension in the outbreak of the Civil War, in Huckleberry Finn (both the boy and the novel) their human implications come to sharpest focus around the figure of the Negro.

Huckleberry Finn knew, as did Mark Twain, that Jim was not only a slave but a human being, a man who in some ways was to be envied, and who expressed his essential humanity in his desire for freedom, his will to possess his own labor, in his loyalty and capacity for friendship and in his love for his wife and child. Yet Twain, though guilty of the sentimentality common to humorists, does not idealize the slave. Jim is drawn in all his ignorance and superstition, with his good traits and his bad. He, like all men, is ambiguous, limited in circumstance but not in possibility. And it will be noted that when Huck makes his decision he identifies himself with Jim and accepts the judgment of his super-ego—that internalized representative of the community—that his action is evil. Like Prometheus, who for mankind stole fire from the gods, he embraces the evil implicit in his act in order to affirm his belief in humanity. Jim, therefore, is not simply a slave, he is a symbol of humanity, and in freeing Jim, Huck makes a bid to free himself of the conventionalized evil taken for civilization by the town.

This conception of the Negro as a symbol of Man—the reversal of what he represents in most contemporary thought—was organic to nineteenth-century literature. It occurs not only in Twain but in Emerson, Thoreau, Whitman and Melville (whose symbol of evil, incidentally, was white), all of whom were men publicly involved in various forms of deeply personal rebellion. And while the Negro and the color black were associated with the concept of evil and ugliness far back in the Christian era, the Negro's emergence as a symbol of value came, I believe, with Rationalism and the rise of the romantic individual of the eighteenth century. This, perhaps, because the romantic was in revolt against the old moral authority, and if he suffered a sense of guilt, his passion for personal freedom was such that he was willing to accept evil (a tragic attitude) even to identifying himself with the "noble slave"—who symbolized the darker, unknown potential side of his personality, that underground side, turgid with possibility, which might, if given a chance, toss a fistful of mud into the sky and create a "shining star."

Even that prototype of the bourgeois, Robinson Crusoe, stopped to speculate as to his slave's humanity. And the rising American industrialists of the late nineteenth century were to rediscover what their European counterparts had learned a century before: that the good man Friday was as sound an investment for Crusoe morally as he was economically, for not only did Friday allow Crusoe to achieve himself by working for him, but by functioning as a living scapegoat to contain Crusoe's guilt over breaking with the institutions and authority of the past, he made it possible to exploit even his guilt economically. The man was one of the first missionaries.
Mark Twain was alive to this irony and refused such an easy (and dangerous) way out. Huck Finn's acceptance of the evil implicit in his "emancipation" of Jim represents Twain's acceptance of his personal responsibility in the condition of society. This was the tragic face behind his comic mask.

But by the twentieth century this attitude of tragic responsibility had disappeared from our literature along with that broad conception of democracy which vitalized the work of our greatest writers. After Twain's compelling image of black and white fraternity the Negro generally disappears from fiction as a rounded human being. And if already in Twain's time a novel which was optimistic concerning a democracy which would include all men could not escape being banned from public libraries, by our day his great drama of interracial fraternity had become, for most Americans at least, an amusing boy's story and nothing more. But, while a boy, Huck Finn has become by the somersault motion of what William Empson terms "pastoral," an embodiment of the heroic, and an exponent of humanism. Indeed, the historical and artistic justification for his adolescence lies in the fact that Twain was depicting a transitional period of American life; its artistic justification is that adolescence is the time of the "great confusion" during which both individuals and nations flounder between accepting and rejecting the responsibilities of adulthood. Accordingly, Huck's relationship to Jim, the river, and all they symbolize, is that of a humanist; in his relation to the community he is an individualist. He embodies the two major conflicting drives operating in nineteenth-century America. And if humanism is man's basic attitude toward a social order which he accepts, and individualism his basic attitude toward one he rejects, one might say that Twain, by allowing these two attitudes to argue dialectically in his work of art, was as highly moral an artist as he was a believer in democracy.